## AGREEMENT

## For <br> PROFESSIONAL ENGINEERING SERVICES <br> Between <br> THE CITY OF COLUMBIA, MISSOURI <br> And <br> ENGINEERING SURVEYS AND SERVICES

THIS AGREEMENT made as of $\qquad$ day of $\qquad$ 20 $\qquad$ , by and between the City of Columbia, Missouri (hereinafter called "CITY"), and Engineering Surveys and Services (hereinafter called "ENGINEER").

WITNESSETH, that whereas CITY intends to make improvements as described below, hereinafter called the PROJECT, consisting of the following:

## NIFONG BOULEVARD IMPROVEMENTS - PROVIDENCE ROAD TO FORUM BOULEVARD <br> (Description of Project)

NOW, THEREFORE, in consideration of the mutual covenants set out herein the parties agree as follows:

ENGINEER shall serve as CITY's professional engineering contractor in those assignments to which this Agreement applies, and shall give consultation and advice to CITY during the performance of the services. All services shall be performed under the direction of a professional engineer registered in the State of Missouri and qualified in the particular field.

## SECTION 1 - AUTHORIZATION OF SERVICES

1.1 ENGINEER shall not undertake to begin any of the services contemplated by this agreement until directed in writing to do so by CITY. CITY may elect to authorize the PROJECT as a whole or in parts.
1.2 Authorized work may include services described hereafter as Basic Services or as Additional Services of ENGINEER.

## SECTION 2 - BASIC SERVICES OF ENGINEER

2.1 General
2.1.1 Perform professional engineering services as set forth in Attachment A "Scope of Basic Services," dated July 27, 2016, (hereinafter referred to as "Scope of Basic Services").
2.1.2 ENGINEER will designate the following listed individuals as its project team with responsibilities as assigned. ENGINEER shall dedicate whatever additional resources are necessary to accomplish the PROJECT within the specified time frame but will not remove these individuals from the assigned tasks for any reason within the control of ENGINEER without the written approval of CITY.

## Name and Title <br> Ben Ross - ES\&S Vice President Linda Moen - EFK Moen President P.J. Kronlage-EFK Moen Vice President David Bennett - ES\&S President <br> Assignment <br> Project Manager <br> Task Manager/Cooridor Analysis <br> Traffic Engineering <br> QA/QC

All of the services required hereunder will be performed by ENGINEER or under its supervision and all personnel engaged in the work shall be fully qualified and authorized or permitted under state and local law to perform such services.

None of the work or services covered by this Agreement shall be subcontracted without the prior written approval of CITY and any work or services so subcontracted shall be subject to the provisions of this Agreement.
2.2 ENGINEER shall furnish such periodic reports as CITY may request pertaining to the work or services undertaken pursuant to this Agreement, the costs and obligations incurred or to be incurred, and any other matters covered by this Agreement.
2.3 ENGINEER shall maintain accounts and records, including personnel, property and financial records, adequate to identify and account for all costs pertaining to the Agreement and any other records as deemed necessary by CITY to assure proper accounting for all project funds. These records must be available to CITY or its authorized representatives, for audit purposes, and must be retained for three (3) years after expiration or completion of this Agreement.

## SECTION 3 - ADDITIONAL SERVICES OF ENGINEER

### 3.1 General

If authorized in writing by CITY, and agreed to in writing by ENGINEER, ENGINEER shall furnish or obtain from others Additional Services of the following types which are not considered normal or customary Basic Services. The scope of Additional Services may include:

### 3.1.1 Financial Consultation

Consult with CITY's fiscal agents and bond attorneys and provide such engineering data as required for any bond prospectus or other financing requirements

### 3.1.2 Property Procurement Assistance

Provide consultation and assistance on property procurement as related to professional engineering services being performed.

### 3.1.3 Obtaining Services of Others <br> Provide through subcontract the services or data set forth in Scope of Basic Services.

3.1.4 Preliminary or final engineering design of capital facilities except as specifically identified herein.
3.1.5 Preparation of reports, data, application, etc., in connection with modifications to FEMA floodplain definition and/or mapping.

### 3.1.6 Extra Services

Services not specifically defined heretofore that may be authorized in writing by CITY.

## SECTION 4 - RESPONSIBILITIES OF CITY

4.1 Provide full information as to CITY's requirements for the PROJECT.
4.2 Assist ENGINEER by placing at ENGINEER's disposal available information pertinent to the assignment including previous reports and other data relative thereto, including the items outlined in Scope of Basic Services.
4.3 Guarantee access to and make all provisions for ENGINEER to enter upon public and private property as required for ENGINEER to perform ENGINEER's services under this Agreement.
4.4 Examine all studies, reports, sketches, estimates, Bid Documents, Drawings, proposals and other documents presented by ENGINEER and render in writing decisions pertaining thereto.
$4.5 \quad$ Provide such professional legal, accounting, financial and insurance counseling services as may be required for the PROJECT.
4.6 Designate David Nichols, as CITY's representative with respect to the services to be performed under this Agreement. Such person shall have complete authority to transmit instructions, receive information, interpret and define CITY's policies and decisions with respect to materials, equipment, elements and systems to be used in the PROJECT, and other matters pertinent to the services covered by this Agreement.
4.7 Give prompt written notice to ENGINEER whenever CITY observes or otherwise becomes aware of any defect in the PROJECT.
4.8 Furnish approvals and permits from all governmental authorities having jurisdiction over the PROJECT and such approvals and consents from others as may be necessary for completion of the PROJECT.
4.9 Furnish ENGINEER data such as probings and subsurface explorations, with appropriate professional interpretations; property, boundary, easement, right-ofway, topographic and utility surveys; zoning and deed restriction; and other special data or consultations, all of which ENGINEER may rely upon in performing his services under this Agreement.

## SECTION 5 - PERIOD OF SERVICE

5.1 This Agreement will become effective upon the first written notice by CITY authorizing services hereunder.
5.2 This Agreement shall be applicable to all work assignments authorized by CITY subsequent to the date of its execution and shall be effective as to all assignments authorized.
5.3 Services shall be started within 10 calendar days of Notice to Proceed and completed within 1,700 calendar days from the issuance of the Notice to Proceed. CITY shall have the right to establish performance times for individual phases or elements of the PROJECT by delivering a written schedule setting out the performance times to the ENGINEER.

## SECTION 6 - PAYMENTS TO ENGINEER

### 6.1 Amount of Payment

6.1.1 For services performed, CITY shall pay ENGINEER the sum of amounts determined as follows:
6.1.1.1 For time spent by personnel, payment at the hourly rates indicated in the "Schedule of Hourly Labor Billing Rates" (attached). Such rates include overhead and profit. The schedule is effective to January 1, 2017, and may be revised thereafter.
6.1.1.2 For outside expenses incurred by ENGINEER, such as authorized travel and subsistence, commercial services, and incidental expenses, the cost to ENGINEER.
6.1.1.3 For reproduction, printing, long-distance telephone calls, company vehicle usage, testing apparatus, computer services and computer-assisted drafting (CAD), amounts will be charged according to the ENGINEER's standard rates in effect at the time service is provided.
6.1.1.4 For professional services rendered by others as subcontractor(s) to ENGINEER such as surveying, real property descriptions, soil borings, subsurface investigations, laboratory testing, field quality control tests, progress photos, or other activities required or requested by CITY, will be billed at the cost to ENGINEER.
6.1.1.5 For time spent by outside individual professional consultants employed by ENGINEER in providing services to CITY, the cost to ENGINEER. Expenses incurred by such outside consultants in service to CITY shall be reimbursable in accordance with 6.1.1.2 above.
6.1.2 Total payment for Scope of Basic Services and all other expenses and costs to CITY under this Agreement and described herein shall not exceed $\$ 915,655$.
6.2 Payments
6.2.1 Upon completion and acceptance of the right-of-way plans by CITY, fifty percent (50\%) of the five percent (5\%) of these services retained by CITY will be paid to ENGINEER. Upon completion and acceptance of the final plans by CITY, the remaining portion of the five percent (5\%) of these services retained by CITY will be paid to ENGINEER.

## SECTION 7 - GENERAL CONSIDERATIONS

### 7.1 Insurance

7.1.1 ENGINEER'S INSURANCE: ENGINEER agrees to maintain, on a primary basis and at its sole expense, at all times during the life of this contract the following insurance coverages, limits, including endorsements described herein. The requirements contained herein, as well as CITY's review or acceptance of insurance maintained by ENGINEER is not intended to and shall not in any manner limit or qualify the liabilities or obligations assumed by ENGINEER under this contract

Commercial General Liability ENGINEER agrees to maintain Commercial General Liability at a limit of liability not less than $\$ 2,000,000$ combined single limit for any one occurrence covering both bodily injury and property damage, including accidental death. Coverage shall not contain any endorsement(s) excluding nor limiting Contractual Liability or Cross Liability. If the contract involves any underground/digging operations, the general liability certificate shall include $X, C$ and $U$ (Explosion, Collapse and Underground) coverage.

Professional Liability ENGINEER agrees to maintain Professional (Errors \& Omissions) Liability at a limit of liability not less than $\$ 2,000,000$ per claim and $\$ 2,000,000$ aggregate. For policies written on a "Claims-Made" basis, ENGINEER agrees to maintain a Retroactive Date prior to or equal to the effective date of this contract. In the event the policy is canceled, non- renewed, switched to an Occurrence Form, retroactive date advanced; or any other event triggering the right to purchase a Supplemental Extended Reporting Period (SERP) during the life of this contract,

ENGINEER agrees to purchase a SERP with a minimum reporting period not less than two (2) years. The requirement to purchase a SERP shall not relieve ENGINEER of the obligation to provide replacement coverage.

Business Automobile Liability ENGINEER agrees to maintain Business Automobile Liability at a limit of liability not less than $\mathbf{\$ 2 , 0 0 0 , 0 0 0}$ combined single limit for any one occurrence and not less than $\$ 150,000$ per individual, covering both bodily injury, including accidental death, and property damage, to protect themselves from any and all claims arising from the use of the ENGINEER's own automobiles, and trucks; hired automobiles, and trucks; and automobiles both on and off the site of work. Coverage shall include liability for Owned, Non-Owned \& Hired automobiles. In the event ENGINEER does not own automobiles, ENGINEER agrees to maintain coverage for Hired \& Non-Owned Auto Liability, which may be satisfied by way of endorsement to the Commercial General Liability policy or separate Business Auto Liability policy.

Workers' Compensation Insurance \& Employers' Liability ENGINEER agrees to take out and maintain during the life of this contract, Employers' Liability and Workers' Compensation Insurance for all of their employees employed at the site of the work, and in case any work is sublet, the ENGINEER shall require the subcontractor similarly to provide Workers' Compensation Insurance for all the latter's employees unless such employees are covered by the protection afforded by the ENGINEER. Workers' Compensation coverages shall meet Missouri statutory limits. Employers' Liability minimum limits shall be $\$ 500,000$ each employee, $\$ 500,000$ each accident and $\$ 500,000$ policy limit. In case any class of employees engaged in hazardous work under this contract is not protected under the Workers' Compensation Statute, the ENGINEER shall provide and shall cause each subcontractor to provide Employers' Liability Insurance for the protection of their employees not otherwise protected.

Excess/Umbrella Liability The above liability limits may be satisfied by any combination of primary and excess/umbrella liability policies.

Additional Insured ENGINEER agrees to endorse CITY as an Additional Insured with a CG 2026 Additional Insured - Designated Person or Organization endorsement, or similar endorsement, to the Commercial General Liability. The Additional Insured shall read "City of Columbia."

Waiver of Subrogation ENGINEER agrees by entering into this contract to a Waiver of Subrogation for each required policy herein except professional liability. When required by the insurer, or should a policy condition not permit ENGINEER to enter into an pre-loss agreement to waive subrogation without an endorsement, then ENGINEER agrees to notify the insurer and request the policy be endorsed with a Waiver of Transfer of Rights of Recovery Against Others, or its equivalent. This Waiver of Subrogation requirement shall not apply to any policy, which includes a condition specifically prohibiting such an endorsement, or voids coverage should ENGINEER enter into such an agreement on a pre-loss basis.

Certificate(s) of Insurance ENGINEER agrees to provide CITY with Certificate(s) of Insurance evidencing that all coverages, limits and endorsements required herein are maintained and in full force and effect. Said Certificate(s) of Insurance shall include a
minimum thirty (30) day endeavor to notify due to cancellation or non-renewal of coverage. The Certificate(s) of Insurance shall name the City as additional insured in an amount as required in this contract and contain a description of the project or work to be performed.

Right to Revise or Reject CITY reserves the right, but not the obligation, to review and revise any insurance requirement, not limited to limits, coverages and endorsements based on insurance market conditions affecting the availability or affordability of coverage; or changes in the scope of work / specifications affecting the applicability of coverage. Additionally, CITY reserves the right, but not the obligation, to review and reject any insurance policies failing to meet the criteria stated herein or any insurer providing coverage due of its poor financial condition or failure to operating legally.

### 7.1.2 HOLD HARMLESS AGREEMENT: To the fullest extent not prohibited by

 law, ENGINEER shall indemnify and hold harmless the City of Columbia, its directors, officers, agents and employees from and against all claims, damages, losses and expenses (including but not limited to attorney's fees) arising by reason of any negligent act or failure to act, or willful misconduct, of ENGINEER, of any subcontractor (meaning anyone, including but not limited to consultants having a contract with ENGINEER or a subcontractor for part of the services), of anyone directly or indirectly employed by ENGINEER or by any subcontractor, or of anyone for whose acts ENGINEER or its subcontractor may be liable, in connection with providing these services except as provided in this Agreement. This provision does not, however, require ENGINEER to indemnify, hold harmless or defend the City of Columbia from its own negligence, except as set out herein.
### 7.1.3 Professional Oversight Indemnification <br> ENGINEER understands and agrees that CITY has contracted with

 ENGINEER based upon ENGINEER's representations that ENGINEER is a skilled professional and fully able to provide the services set out in this Agreement. In addition to any other indemnification set out in this Agreement, ENGINEER agrees to defend, indemnify and hold and save harmless CITY from any and all claims, settlements and judgments whatsoever arising out of CITY's alleged negligence in hiring or failing to properly supervise ENGINEER.The insurance required by this Agreement shall include coverage which shall meet ENGINEER's obligations to indemnify the CITY as set out above and the CITY shall be named as co-insured for such insurance.

### 7.2 Professional Responsibility

7.2.1 ENGINEER will exercise reasonable skill, care, and diligence in the performance of its services and will carry out its responsibilities in accordance with customarily accepted good professional engineering practices. If ENGINEER fails to meet the foregoing standard, ENGINEER will perform at its own cost, and without reimbursement from CITY, the professional engineering services necessary to correct
errors and omissions which are caused by ENGINEER's failure to comply with above standard, and which are reported to ENGINEER within one year from the completion of ENGINEER's services for the PROJECT.
7.2.2 In addition, ENGINEER will be responsible to CITY for damages caused by its negligent conduct during its activities at the PROJECT site or in the field.

### 7.3 Estimates and Projections

Estimates and projections prepared by ENGINEER relating to construction costs and schedules, operation and maintenance costs, equipment characteristics and performance, and operating results are based on ENGINEER's experience, qualifications and judgment as a design professional. Since ENGINEER has no control over weather, cost and availability of labor, material and equipment, labor productivity, construction contractor's procedures and methods, unavoidable delays, construction contractor's methods of determining prices, economic conditions, competitive bidding or market conditions and other factors affecting such estimates or projections, ENGINEER does not guarantee that actual rates, costs, performance, schedules, etc., will not vary from estimates and projections prepared by ENGINEER.

## $7.4 \quad$ On-Site Services

PROJECT site visits by ENGINEER during construction shall not make ENGINEER responsible for construction means, methods, techniques, sequences or procedures; for construction safety precautions or programs; or for any construction contractor(s') failure to perform its work in accordance with the plans and specifications.

### 7.5 Changes

CITY shall have the right to make changes within the general scope of ENGINEER's services, with an appropriate change in compensation, upon execution of a mutually acceptable amendment or change order signed by an authorized representative of CITY and the President or any Vice President of ENGINEER.

## $7.6 \quad$ Suspension of Services

Should CITY fail to fulfill its responsibilities as provided under Section 4 to the extent that ENGINEER is unduly hindered in ENGINEER's services or if CITY fails to make any payment to ENGINEER on account of its services and expenses within ninety (90) days after receipt of ENGINEER's bill therefor, ENGINEER may, after giving seven (7) days' written notice to CITY, suspend services under this Agreement until CITY has satisfied his obligations under this Agreement.

### 7.7 Termination

Services may be terminated by the CITY at any time and for any reason, and by ENGINEER in the event of substantial failure to perform in accordance with the terms hereof by CITY through no fault of ENGINEER, by ten (10) days' notice. If so terminated, CITY shall pay ENGINEER all uncontested amounts due ENGINEER for all services properly rendered and expenses incurred to the date of receipt of notice of termination.
7.7.1 In the event of CITY's termination of this Agreement pursuant to the above section, all finished or unfinished documents, data, studies, surveys, drawings, maps, models, photographs and reports prepared under this Agreement, shall at the option of CITY become its property.

Further, ENGINEER shall not be relieved of any liability to CITY for any damages sustained by CITY by virtue of any breach of this Agreement by ENGINEER and CITY may withhold any payments due ENGINEER for the purpose of set-off until such time as the exact amount of damages to CITY, if any, is determined.

## $7.8 \quad$ Publications

Recognizing the importance of professional development on the part of ENGINEER's employees and the importance of ENGINEER's public relations, ENGINEER may prepare publications, such as technical papers, articles for periodicals, and press releases, pertaining to ENGINEER's services for the PROJECT. Such publications will be provided to CITY in draft form for CITY's advance review. CITY will review such drafts promptly and will provide comments to ENGINEER. CITY may require deletion of proprietary data or confidential information from such publications but otherwise will not unreasonably withhold its approval. The cost of ENGINEER's activities pertaining to any such publication shall be paid entirely by ENGINEER.
$7.9 \quad$ Nondiscrimination
During the performance of this Agreement, ENGINEER agrees to the following:
7.9.1. ENGINEER shall not discriminate against any employee or applicant for employment because of race, color, religion, sex, age, disability, or national origin. ENGINEER shall take affirmative action to ensure that applicants are employed and that employees are treated during employment without regard to their race, color, religion, sex, age, disability, or national origin. Such action shall include, but not be limited to the following: employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training including apprenticeship. ENGINEER agrees to post notices in conspicuous places, available to employees and applicants for employment.
7.9.2 ENGINEER shall, in all solicitation or advertisements for employees placed by or on behalf of ENGINEER, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, age, disability, or national origin.
7.9.3 ENGINEER shall comply with all provisions of State and Federal Laws governing the regulation of Equal Employment Opportunity including Title VI of the Civil Rights Act of 1964.

### 7.10 Successor and Assigns

CITY and ENGINEER each binds himself and his successors, executors, administrators and assigns to the other party of this Agreement and to the successors, executors, administrators and assigns of such other party, in respect to all covenants of this Agreement; except as above, neither CITY nor ENGINEER shall assign, sublet or transfer his interest in the Agreement without the written consent of the other.
7.11 Rights and Benefits

ENGINEER's services will be performed solely for the benefit of the CITY and not for the benefit of any other persons or entities.

### 7.12 Compliance with Local Laws

ENGINEER shall comply with all applicable laws, ordinances and codes of the state and city.
7.13 Law; Submission to Jurisdiction Governing

This Agreement shall be governed by, interpreted and enforced in accordance with the laws of the State of Missouri and/or the laws of the United States, as applicable. The venue for all litigation arising out of, or relating to this Agreement, shall be Boone County, Missouri or the United States Western District of Missouri. The parties hereto irrevocably agree to submit to the exclusive jurisdiction of such courts in the State of Missouri and waive any defense of forum non conveniens

### 7.14 Employment of Unauthorized Aliens Prohibited

7.14.1 ENGINEER agrees to comply with Missouri State Statute section 285.530 in that they shall not knowingly employ, hire for employment, or continue to employ an unauthorized alien to perform work within the state of Missouri.
7.14.2 As a condition for the award of this Agreement, ENGINEER shall, by sworn affidavit and provision of documentation, affirm its enrollment and participation in a federal work authorization program with respect to the employees working in connection with the contracted services. ENGINEER shall also sign an affidavit affirming that it does not knowingly employ any person who is an unauthorized alien in connection with the contracted services.
7.14.3 ENGINEER shall require each subcontractor to affirmatively state in its contract with ENGINEER that the subcontractor shall not knowingly employ, hire for
employment or continue to employ an unauthorized alien to perform work within the state of Missouri. ENGINEER shall also require each subcontractor to provide ENGINEER with a sworn affidavit under the penalty of perjury attesting to the fact that the subcontractor's employees are lawfully present in the United States.
7.15 No Waiver of Immunities

In no event shall the language of this Agreement constitute or be construed as a waiver or limitation for either party's rights or defenses with regard to each party's applicable sovereign, governmental, or official immunities and protections as provided by federal and state constitutions or laws.

In the event of a conflict between the terms and conditions of this Agreement and any attachment hereto, the terms contained in this Agreement shall prevail and the terms contained in any attachment shall subsequently prevail in the order attached hereto.
7.16 Entire Agreement

This Agreement represents the entire and integrated Agreement between ENGINEER and CITY relative to the Scope of Basic Services herein. All previous or contemporaneous agreements, representations, promises and conditions relating to ENGINEER's services described herein are superseded.

## [SIGNATURES ON FOLLOWING PAGE]

# CITY OF COLUMBIA, MISSOURI 

By:
Mike Matthes, City Manager

## ATTESTED BY:

## Stela Amin, City Clerk

## APPROVED AS TO FORM:

## Nancy Thompson, City Counselor

CERTIFICATION: I hereby certify that the above expenditure is within the purpose of the appropriation to which it is charged, Account No. $44008830-604023,00643$ and that there is an unencumbered balance to the credit of such appropriation sufficient to pay therefor.

By:
Director of Finance
ENGINEERING SURVEYS AND SERVICES


ATTEST:


## NOTICE TO VENDORS

Section 285.525-285.550 RSMo Effective January 1, 2009
Effective January 1, 2009 and pursuant to RSMo 285.530 (1), No business entity or employer shall knowingly employ, hire for employment, or continue to employ an unauthorized alien to perform work within the state of Missouri.

As a condition for the award of any contract or grant in excess of five thousand dollars by the state or by any political subdivision of the state to a business entity, or for any business entity receiving a state administered or subsidized tax credit, tax abatement, or loan from the state, the business entity shall, by sworn affidavit and provision of documentation, affirm its enrollment and participation in a federal work authorization program with respect to the employees working in connection with the contracted services. Every such business entity shall sign an affidavit affirming that it does not knowingly employ any person who is an unauthorized alien in connection with the contracted services. [RSMO 285.530 (2)]

An employer may enroll and participate in a federal work authorization program and shall verify the employment eligibility of every employee in the employer's hire whose employment commences after the employer enrolls in a federal work authorization program. The employer shall retain a copy of the dated verification report received
from the federal government. Any business entity that participates in such program shall have an affirmative defense that such business entity has not violated subsection 1 of this section. [RSMO 285.530 (4)]

For vendors that are not already enrolled and participating in a federal work authorization program, E-Verify is an example of this type of program. Information regarding E-Verify is available at: http://www.dhs.gov/xprevprot/programs/gc_1185221678150.shtm.

# CITY OF COLUMBIA, MISSOURI <br> WORK AUTHORIZATION AFFIDAVIT <br> PURSUANT TO 285.530 RSMo <br> (FOR ALL BIDS IN EXCESS OF \$5,000.00) 

## Effective 1/1/2009

County of Boone )
State of Missouri )

My name is Benjamin A. Ross. I am an authorized agent of Engineering
Surveys \& Services(Bidder). This business is enrolled and participates in a federal work authorization program for all employees working in connection with services provided to the City of Columbia. This business does not knowingly employ any person who is an unauthorized alien in connection with the services being provided.

Documentation of participation in a federal work authorization program is attached to this affidavit.

Furthermore, all subcontractors working on this contract shall affirmatively state in writing in their contracts that they are not in violation of Section 285.530.1 RSMo and shall not thereafter be in violation. Alternatively, a subcontractor may submit a sworn affidavit under penalty of perjury that all employees are lawfully present in the United States.


Subscribed and sworn to before me this 7th day of $\qquad$ 2016.


Company ID Number: 200464

# THE E-VERIFY PROGRAM FOR EMPLOYMENT VERIFICATION MEMORANDUM OF UNDERSTANDING 


#### Abstract

ARTICLE I

\section*{PURPOSE AND AUTHORITY}

This Memorandum of Understanding (MOU) sets forth the points of agreement between the Department of Homeland Security (DHS) and Engineering Surveys \& Services (Employer) regarding the Employer's participation in the Employment Eligibility Verification Program (EVerify). This MOU explains certain features of the E-Verify program and enumerates specific responsibilities of DHS, the Social Security Administration (SSA), and the Employer. E-Verify is a program that electronically confirms an employee's eligibility to work in the United States after completion of the Employment Eligibility Verification Form (Form I-9). For covered government contractors, E-Verify is used to verify the employment eligibility of all newly hired employees and all existing employees assigned to Federal contracts.

Authority for the E-Verify program is found in Title IV, Subtitle A, of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (IIRIRA), Pub. L. 104-208, 110 Stat. 3009, as amended ( 8 U.S.C. § $1324 a$ note). Authority for use of the E-Verify program by Federal contractors and subcontractors covered by the terms of Subpart 22.18, "Employment Eligibility Verification", of the Federal Acquisition Regulation (FAR) (hereinafter referred to in this MOU as a "Federal contractor") to verify the employment eligibility of certain employees working on Federal contracts is also found in Subpart 22.18 and in Executive Order 12989, as amended.


## ARTICLE II

## FUNCTIONS TO BE PERFORMED

## A. RESPONSIBILITIES OF SSA

1. SSA agrees to provide the Employer with available information that allows the Employer to confirm the accuracy of Social Security Numbers provided by all employees verified under this MOU and the employment authorization of U.S. citizens.
2. SSA agrees to provide to the Employer appropriate assistance with operational problems that may arise during the Employer's participation in the E-Verify program. SSA agrees to provide the Employer with names, titles, addresses, and telephone numbers of SSA representatives to be contacted during the E-Verify process.
3. SSA agrees to safeguard the information provided by the Employer through the E-Verify program procedures, and to limit access to such information, as is appropriate by law, to individuals responsible for the verification of Social Security Numbers and for evaluation of the E-Verify program or such other persons or entities who may be authorized by SSA as governed by the Privacy Act (5 U.S.C. § 552a), the Social Security Act (42 U.S.C. 1306(a)), and SSA regulations (20 CFR Part 401).
4. SSA agrees to provide a means of automated verification that is designed (in conjunction with DHS's automated system if necessary) to provide confirmation or tentative nonconfirmation of U.S. citizens' employment eligibility within 3 Federal Government work days of the initial inquiry.
5. SSA agrees to provide a means of secondary verification (including updating SSA records as may be necessary) for employees who contest SSA tentative nonconfirmations that is designed to provide final confirmation or nonconfirmation of U.S. citizens' employment eligibility and accuracy of SSA records for both citizens and aliens within 10 Federal Government work days of the date of referral to SSA, unless SSA determines that more than 10 days may be necessary. In such cases, SSA will provide additional verification instructions.

## B. RESPONSIBILITIES OF DHS

1. After SSA verifies the accuracy of SSA records for aliens through E-Verify, DHS agrees to provide the Employer access to selected data from DHS's database to enable the Employer to conduct, to the extent authorized by this MOU:

- Automated verification checks on alien employees by electronic means, and
- Photo verification checks (when available) on employees.

2. DHS agrees to provide to the Employer appropriate assistance with operational problems that may arise during the Employer's participation in the E-Verify program. DHS agrees to provide the Employer names, titles, addresses, and telephone numbers of DHS representatives to be contacted during the E-Verify process.
3. DHS agrees to provide to the Employer a manual (the E-Verify User Manual) containing instructions on E-Verify policies, procedures and requirements for both SSA and DHS, including restrictions on the use of E-Verify. DHS agrees to provide training materials on E-Verify.
4. DHS agrees to provide to the Employer a notice, which indicates the Employer's participation in the E-Verify program. DHS also agrees to provide to the Employer antidiscrimination notices issued by the Office of Special Counsel for Immigration-Related Unfair Employment Practices (OSC), Civil Rights Division, U.S. Department of Justice.
5. DHS agrees to issue the Employer a user identification number and password that permits the Employer to verify information provided by alien employees with DHS's database.
6. DHS agrees to safeguard the information provided to DHS by the Employer, and to limit access to such information to individuals responsible for the verification of alien employment eligibility and for evaluation of the E-Verify program, or to such other persons or entities as may be authorized by applicable law. Information will be used only to verify the accuracy of Social Security Numbers and employment eligibility, to enforce the Immigration and Nationality Act (INA) and Federal criminal laws, and to administer Federal contracting requirements.
7. DHS agrees to provide a means of automated verification that is designed (in conjunction with SSA verification procedures) to provide confirmation or tentative

Company ID Number: 200464
nonconfirmation of employees' employment eligibility within 3 Federal Government work days of the initial inquiry.
8. DHS agrees to provide a means of secondary verification (including updating DHS records as may be necessary) for employees who contest DHS tentative nonconfirmations and photo non-match tentative nonconfirmations that is designed to provide final confirmation or nonconfirmation of the employees' employment eligibility within 10 Federal Government work days of the date of referral to DHS, unless DHS determines that more than 10 days may be necessary. In such cases, DHS will provide additional verification instructions.

## C. RESPONSIBILITIES OF THE EMPLOYER

1. The Employer agrees to display the notices supplied by DHS in a prominent place that is clearly visible to prospective employees and all employees who are to be verified through the system.
2. The Employer agrees to provide to the SSA and DHS the names, titles, addresses, and telephone numbers of the Employer representatives to be contacted regarding E-Verify.
3. The Employer agrees to become familiar with and comply with the most recent version of the E-Verify User Manual.
4. The Employer agrees that any Employer Representative who will perform employment verification queries will complete the E-Verify Tutorial before that individual initiates any queries.
A. The Employer agrees that all Employer representatives will take the refresher tutorials initiated by the E-Verify program as a condition of continued use of EVerify, including any tutorials for Federal contractors if the Employer is a Federal contractor.
B. Failure to complete a refresher tutorial will prevent the Employer from continued use of the program.
5. The Employer agrees to comply with current Form l-9 procedures, with two exceptions:

- If an employee presents a "List B" identity document, the Employer agrees to only accept "List B" documents that contain a photo. (List $B$ documents identified in 8 C.F.R. § 274a.2(b)(1)(B)) can be presented during the Form I-9 process to establish identity.) If an employee objects to the photo requirement for religious reasons, the Employer should contact E-Verify at 888-464-4218.
- If an employee presents a DHS Form I-551 (Permanent Resident Card) or Form I-766 (Employment Authorization Document) to complete the Form I-9, the Employer agrees to make a photocopy of the document and to retain the photocopy with the employee's Form I-9. The employer will use the photocopy to verify the photo and to assist DHS with its review of photo non-matches that are contested by employees. Note that employees retain the right to present any List A , or List B and List C , documentation to complete the Form I-9. DHS may in the future designate other documents that activate the photo screening tool.

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6. The Employer understands that participation in E-Verify does not exempt the Employer from the responsibility to complete, retain, and make available for inspection Forms I-9 that relate to its employees, or from other requirements of applicable regulations or laws, including the obligation to comply with the antidiscrimination requirements of section 274B of the INA with respect to Form I-9 procedures, except for the following modified requirements applicable by reason of the Employer's participation in E-Verify: (1) identity documents must have photos, as described in paragraph 5 above; (2) a rebuttable presumption is established that the Employer has not violated section $274 \mathrm{~A}(\mathrm{a})(1)(\mathrm{A})$ of the Immigration and Nationality Act (INA) with respect to the hiring of any individual if it obtains confirmation of the identity and employment eligibility of the individual in compliance with the terms and conditions of E-Verify; (3) the Employer must notify DHS if it continues to employ any employee after receiving a final nonconfirmation, and is subject to a civil money penalty between $\$ 550$ and $\$ 1,100$ for each failure to notify DHS of continued employment following a final nonconfirmation; (4) the Employer is subject to a rebuttable presumption that it has knowingly employed an unauthorized alien in violation of section $274 \mathrm{~A}(\mathrm{a})(1)(\mathrm{A})$ if the Employer continues to employ an employee after receiving a final nonconfirmation; and (5) no person or entity participating in E-Verify is civilly or criminally liable under any law for any action taken in good faith based on information provided through the confirmation system. DHS reserves the right to conduct Form I-9 compliance inspections during the course of E-Verify, as well as to conduct any other enforcement activity authorized by law.
7. The Employer agrees to initiate E-Verify verification procedures for new employees within 3 Employer business days after each employee has been hired (but after both sections 1 and 2 of the Form I-9 have been completed), and to complete as many (but only as many) steps of the E-Verify process as are necessary according to the E-Verify User Manual. The Employer is prohibited from initiating verification procedures before the employee has been hired and the Form I-9 completed. If the automated system to be queried is temporarily unavailable, the 3-day time period is extended until it is again operational in order to accommodate the Employer's attempting, in good faith, to make inquiries during the period of unavailability. In all cases, the Employer must use the SSA verification procedures first, and use DHS verification procedures and photo screening tool only after the SSA verification response has been given. Employers may initiate verification by notating the Form I-9 in circumstances where the employee has applied for a Social Security Number (SSN) from the SSA and is waiting to receive the SSN, provided that the Employer performs an E-Verify employment verification query using the employee's SSN as soon as the SSN becomes available.
8. The Employer agrees not to use E-Verify procedures for pre-employment screening of job applicants, in support of any unlawful employment practice, or for any other use not authorized by this MOU. Employers must use E-Verify for all new employees, unless an Employer is a Federal contractor that qualifies for the exceptions described in Article II.D.1.c. Except as provided in Article II.D, the Employer will not verify selectively and will not verify employees hired before the effective date of this MOU. The Employer understands that if the Employer uses E-Verify procedures for any purpose other than as authorized by this MOU, the Employer may be subject to appropriate legal action and termination of its access to SSA and DHS information pursuant to this MOU.
9. The Employer agrees to follow appropriate procedures (see Article III. below) regarding tentative nonconfirmations, including notifying employees of the finding, providing written referral instructions to employees, allowing employees to contest the finding, and not taking
adverse action against employees if they choose to contest the finding. Further, when employees contest a tentative nonconfirmation based upon a photo non-match, the Employer is required to take affirmative steps (see Article III.B. below) to contact DHS with information necessary to resolve the challenge.
10. The Employer agrees not to take any adverse action against an employee based upon the employee's perceived employment eligibility status while SSA or DHS is processing the verification request unless the Employer obtains knowledge (as defined in 8 C.F.R. § 274a.1(I)) that the employee is not work authorized. The Employer understands that an initial inability of the SSA or DHS automated verification system to verify work authorization, a tentative nonconfirmation, a case in continuance (indicating the need for additional time for the government to resolve a case), or the finding of a photo non-match, does not establish, and should not be interpreted as evidence, that the employee is not work authorized. In any of the cases listed above, the employee must be provided a full and fair opportunity to contest the finding, and if he or she does so, the employee may not be terminated or suffer any adverse employment consequences based upon the employee's perceived employment eligibility status (including denying, reducing, or extending work hours, delaying or preventing training, requiring an employee to work in poorer conditions, refusing to assign the employee to a Federal contract or other assignment, or otherwise subjecting an employee to any assumption that he or she is unauthorized to work) until and unless secondary verification by SSA or DHS has been completed and a final nonconfirmation has been issued. If the employee does not choose to contest a tentative nonconfirmation or a photo non-match or if a secondary verification is completed and a final nonconfirmation is issued, then the Employer can find the employee is not work authorized and terminate the employee's employment. Employers or employees with questions about a final nonconfirmation may call E-Verify at 1-888-464-4218 or OSC at 1-800-255-8155 or 1-800-237-2515 (TDD).
11. The Employer agrees to comply with Title VII of the Civil Rights Act of 1964 and section 274B of the INA by not discriminating unlawfully against any individual in hiring, firing, or recruitment or referral practices because of his or her national origin or, in the case of a protected individual as defined in section $274 \mathrm{~B}(\mathrm{a})(3)$ of the INA, because of his or her citizenship status. The Employer understands that such illegal practices can include selective verification or use of E-Verify except as provided in part D below, or discharging or refusing to hire employees because they appear or sound "foreign" or have received tentative nonconfirmations. The Employer further understands that any violation of the unfair immigration-related employment practices provisions in section 274B of the INA could subject the Employer to civil penalties, back pay awards, and other sanctions, and violations of Title VII could subject the Employer to back pay awards, compensatory and punitive damages. Violations of either section 274B of the INA or Title VII may also lead to the termination of its participation in E-Verify. If the Employer has any questions relating to the anti-discrimination provision, it should contact OSC at 1-800-255-8155 or 1-800-237-2515 (TDD).
12. The Employer agrees to record the case verification number on the employee's Form I-9 or to print the screen containing the case verification number and attach it to the employee's Form I-9.
13. The Employer agrees that it will use the information it receives from SSA or DHS pursuant to E-Verify and this MOU only to confirm the employment eligibility of employees as

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authorized by this MOU. The Employer agrees that it will safeguard this information, and means of access to it (such as PINS and passwords) to ensure that it is not used for any other purpose and as necessary to protect its confidentiality, including ensuring that it is not disseminated to any person other than employees of the Employer who are authorized to perform the Employer's responsibilities under this MOU, except for such dissemination as may be authorized in advance by SSA or DHS for legitimate purposes.
14. The Employer acknowledges that the information which it receives from SSA is governed by the Privacy Act (5 U.S.C. § 552a(i)(1) and (3)) and the Social Security Act (42 U.S.C. 1306(a)), and that any person who obtains this information under false pretenses or uses it for any purpose other than as provided for in this MOU may be subject to criminal penalties.
15. The Employer agrees to cooperate with DHS and SSA in their compliance monitoring and evaluation of E-Verify, including by permitting DHS and SSA, upon reasonable notice, to review Forms I-9 and other employment records and to interview it and its employees regarding the Employer's use of E-Verify, and to respond in a timely and accurate manner to DHS requests for information relating to their participation in E-Verify.

## D. RESPONSIBILITIES OF FEDERAL CONTRACTORS

1. The Employer understands that if it is a Federal contractor subject to the employment verification terms in Subpart 22.18 of the FAR it must verify the employment eligibility of any "employee assigned to the contract" (as defined in FAR 22.1801) in addition to verifying the employment eligibility of all other employees required to be verified under the FAR. Once an employee has been verified through E-Verify by the Employer, the Employer may not reverify the employee through E-Verify.
a. Federal contractors not enrolled at the time of contract award: An Employer that is not enrolled in E-Verify as a Federal contractor at the time of a contract award must enroll as a Federal contractor in the E-Verify program within 30 calendar days of contract award and, within 90 days of enrollment, begin to use E-Verify to initiate verification of employment eligibility of new hires of the Employer who are working in the United States, whether or not assigned to the contract. Once the Employer begins verifying new hires, such verification of new hires must be initiated within 3 business days after the date of hire. Once enrolled in E-Verify as a Federal contractor, the Employer must initiate verification of employees assigned to the contract within 90 calendar days after the date of enrollment or within 30 days of an employee's assignment to the contract, whichever date is later.
b. Federal contractors already enrolled at the time of a contract award: Employers enrolled in E-Verify as a Federal contractor for 90 days or more at the time of a contract award must use E-Verify to initiate verification of employment eligibility for new hires of the Employer who are working in the United States, whether or not assigned to the contract, within 3 business days after the date of hire. If the Employer is enrolled in E-Verify as a Federal contractor for 90 calendar days or less at the time of contract award, the Employer must, within 90 days of enrollment, begin to use E-Verify to initiate verification of new hires of the contractor who are working in the United States, whether or not assigned to the contract. Such verification of new hires must be initiated within 3 business days after the date of hire. An Employer enrolled as a Federal contractor in E-Verify must initiate verification of each employee assigned to the

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contract within 90 calendar days after date of contract award or within 30 days after assignment to the contract, whichever is later.
c. Institutions of higher education, State, local and tribal governments and sureties: Federal contractors that are institutions of higher education (as defined at 20 U.S.C. 1001(a)), State or local governments, governments of Federally recognized Indian tribes, or sureties performing under a takeover agreement entered into with a Federal agency pursuant to a performance bond may choose to only verify new and existing employees assigned to the Federal contract. Such Federal contractors may, however, elect to verify all new hires, and/or all existing employees hired after November 6, 1986. The provisions of Article II.D, paragraphs 1.a and $1 . b$ of this MOU providing timeframes for initiating employment verification of employees assigned to a contract apply to such institutions of higher education, State, local and tribal governments, and sureties.
d. Verification of all employees: Upon enrollment, Employers who are Federal contractors may elect to verify employment eligibility of all existing employees working in the United States who were hired after November 6, 1986, instead of verifying only those employees assigned to a covered Federal contract. After enrollment, Employers must elect to do so only in the manner designated by DHS and initiate E-Verify verification of all existing employees within 180 days after the election.
e. Form I-9 procedures for Federal contractors: The Employer may use a previously completed Form I-9 as the basis for initiating E-Verify verification of an employee assigned to a contract as long as that Form I-9 is complete (including the SSN), complies with Article II.C.5, the employee's work authorization has not expired, and the Employer has reviewed the information reflected in the Form I-9 either in person or in communications with the employee to ensure that the employee's stated basis in section 1 of the Form I-9 for work authorization has not changed (including, but not limited to, a lawful permanent resident alien having become a naturalized U.S. citizen). If the Employer is unable to determine that the Form I-9 complies with Article II.C.5, if the employee's basis for work authorization as attested in section 1 has expired or changed, or if the Form I-9 contains no SSN or is otherwise incomplete, the Employer shall complete a new I-9 consistent with Article II.C.5, or update the previous I-9 to provide the necessary information. If section 1 of the Form I-9 is otherwise valid and up-todate and the form otherwise complies with Article II.C.5, but reflects documentation (such as a U.S. passport or Form I-551) that expired subsequent to completion of the Form I-9, the Employer shall not require the production of additional documentation, or use the photo screening tool described in Article II.C.5, subject to any additional or superseding instructions that may be provided on this subject in the E-Verify User Manual. Nothing in this section shall be construed to require a second verification using E-Verify of any assigned employee who has previously been verified as a newly hired employee under this MOU, or to authorize verification of any existing employee by any Employer that is not a Federal contractor.
2. The Employer understands that if it is a Federal contractor, its compliance with this MOU is a performance requirement under the terms of the Federal contract or subcontract, and the Employer consents to the release of information relating to compliance with its verification responsibilities under this MOU to contracting officers or other officials authorized to review the Employer's compliance with Federal contracting requirements.

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## ARTICLE III

## REFERRAL OF INDIVIDUALS TO SSA AND DHS

## A. REFERRAL TO SSA

1. If the Employer receives a tentative nonconfirmation issued by SSA, the Employer must print the tentative nonconfirmation notice as directed by the automated system and provide it to the employee so that the employee may determine whether he or she will contest the tentative nonconfirmation.
2. The Employer will refer employees to SSA field offices only as directed by the automated system based on a tentative nonconfirmation, and only after the Employer records the case verification number, reviews the input to detect any transaction errors, and determines that the employee contests the tentative nonconfirmation. The Employer will transmit the Social Security Number to SSA for verification again if this review indicates a need to do so. The Employer will determine whether the employee contests the tentative nonconfirmation as soon as possible after the Employer receives it.
3. If the employee contests an SSA tentative nonconfirmation, the Employer will provide the employee with a system-generated referral letter and instruct the employee to visit an SSA office within 8 Federal Government work days. SSA will electronically transmit the result of the referral to the Employer within 10 Federal Government work days of the referral unless it determines that more than 10 days is necessary. The Employer agrees to check the E-Verify system regularly for case updates.
4. The Employer agrees not to ask the employee to obtain a printout from the Social Security Number database (the Numident) or other written verification of the Social Security Number from the SSA.

## B. REFERRAL TO DHS

1. If the Employer receives a tentative nonconfirmation issued by DHS, the Employer must print the tentative nonconfirmation notice as directed by the automated system and provide it to the employee so that the employee may determine whether he or she will contest the tentative nonconfirmation.
2. If the Employer finds a photo non-match for an employee who provides a document for which the automated system has transmitted a photo, the employer must print the photo nonmatch tentative nonconfirmation notice as directed by the automated system and provide it to the employee so that the employee may determine whether he or she will contest the finding.
3. The Employer agrees to refer individuals to DHS only when the employee chooses to contest a tentative nonconfirmation received from DHS automated verification process or when the Employer issues a tentative nonconfirmation based upon a photo non-match. The Employer will determine whether the employee contests the tentative nonconfirmation as soon as possible

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after the Employer receives it.
4. If the employee contests a tentative nonconfirmation issued by DHS, the Employer will provide the employee with a referral letter and instruct the employee to contact DHS through its toll-free hotline (as found on the referral letter) within 8 Federal Government work days.
5. If the employee contests a tentative nonconfirmation based upon a photo non-match, the Employer will provide the employee with a referral letter to DHS. DHS will electronically transmit the result of the referral to the Employer within 10 Federal Government work days of the referral unless it determines that more than 10 days is necessary. The Employer agrees to check the EVerify system regularly for case updates.
6. The Employer agrees that if an employee contests a tentative nonconfirmation based upon a photo non-match, the Employer will send a copy of the employee's Form l-551 or Form I-766 to DHS for review by:

- Scanning and uploading the document, or
- Sending a photocopy of the document by an express mail account (furnished and paid for by DHS).

7. The Employer understands that if it cannot determine whether there is a photo match/non-match, the Employer is required to forward the employee's documentation to DHS by scanning and uploading, or by sending the document as described in the preceding paragraph, and resolving the case as specified by the Immigration Services Verifier at DHS who will determine the photo match or non-match.

## ARTICLE IV

## SERVICE PROVISIONS

SSA and DHS will not charge the Employer for verification services performed under this MOU. The Employer is responsible for providing equipment needed to make inquiries. To access the E-Verify System, an Employer will need a personal computer with Internet access.

## ARTICLE V

## PARTIES

A. This MOU is effective upon the signature of all parties, and shall continue in effect for as long as the SSA and DHS conduct the E-Verify program unless modified in writing by the mutual consent of all parties, or terminated by any party upon 30 days prior written notice to the others. Any and all system enhancements to the E-Verify program by DHS or SSA, including but not limited to the E-Verify checking against additional data sources and instituting new verification procedures, will be covered under this MOU and will not cause the need for a supplemental MOU that outlines these changes. DHS agrees to train employers on all changes made to EVerify through the use of mandatory refresher tutorials and updates to the E-Verify User Manual. Even without changes to E-Verify, DHS reserves the right to require employers to take

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mandatory refresher tutorials. An Employer that is a Federal contractor may terminate this MOU when the Federal contract that requires its participation in E-Verify is terminated or completed. In such a circumstance, the Federal contractor must provide written notice to DHS. If an Employer that is a Federal contractor fails to provide such notice, that Employer will remain a participant in the E-Verify program, will remain bound by the terms of this MOU that apply to non-Federal contractor participants, and will be required to use the E-Verify procedures to verify the employment eligibility of all newly hired employees.
B. Notwithstanding Article V, part A of this MOU, DHS may terminate this MOU if deemed necessary because of the requirements of law or policy, or upon a determination by SSA or DHS that there has been a breach of system integrity or security by the Employer, or a failure on the part of the Employer to comply with established procedures or legal requirements. The Employer understands that if it is a Federal contractor, termination of this MOU by any party for any reason may negatively affect its performance of its contractual responsibilities.
C. Some or all SSA and DHS responsibilities under this MOU may be performed by contractor(s), and SSA and DHS may adjust verification responsibilities between each other as they may determine necessary. By separate agreement with DHS, SSA has agreed to perform its responsibilities as described in this MOU.
D. Nothing in this MOU is intended, or should be construed, to create any right or benefit, substantive or procedural, enforceable at law by any third party against the United States, its agencies, officers, or employees, or against the Employer, its agents, officers, or employees.
E. Each party shall be solely responsible for defending any claim or action against it arising out of or related to E-Verify or this MOU, whether civil or criminal, and for any liability wherefrom, including (but not limited to) any dispute between the Employer and any other person or entity regarding the applicability of Section 403(d) of IIRIRA to any action taken or allegedly taken by the Employer.
F. The Employer understands that the fact of its participation in E-Verify is not confidential information and may be disclosed as authorized or required by law and DHS or SSA policy, including but not limited to, Congressional oversight, E-Verify publicity and media inquiries, determinations of compliance with Federal contractual requirements, and responses to inquiries under the Freedom of Information Act (FOIA).
G. The foregoing constitutes the full agreement on this subject between DHS and the Employer.
H. The individuals whose signatures appear below represent that they are authorized to enter into this MOU on behalf of the Employer and DHS respectively.

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To be accepted as a participant in E-Verify, you should only sign the Employer's Section of the signature page. If you have any questions, contact E-Verify at 888-464-4218.

Employer Engineering Surveys \& Services
Larry L Hendren
Name (Please Type or Print)
Title

Electronically Signed
03/24/2009
Signature
Date
Department of Homeland Security - Verification Division
USCIS Verification Division
Name (Please Type or Print)

Electronically Signed
03/24/2009
Signature
Date

Company ID Number: 200464

## Information Required for the E-Verify Program

## Information relating to your Company:

Company Name: Engineering Surveys \& Services

Company Facility Address: 1113 Fay Street

Columbia, MO 65201
$\qquad$

Company Alternate
Address: $\qquad$
$\qquad$
$\qquad$

County or Parish: BOONE

## Employer Identification

Number: 11366904

North American Industry
Classification Systems
Code: 541

Parent Company: $\qquad$

Number of Employees: 20 to 99

Number of Sites Verified
for: 1

Are you verifying for more than 1 site? If yes, please provide the number of sites verified for in each State:

- MISSOURI
1 site(s)

Company ID Number: 200464

Information relating to the Program Administrator(s) for your Company on policy questions or operational problems:

Name: Larry L Hendren
Telephone Number: (573) 449-2646
E-mail Address: LHendren@ESS-Inc.com

Fax Number:
(573) $499-1499$

# ATTACHMENT A - SCOPE OF BASIC SERVICES 

July 27, 2016

Nifong Boulevard Improvements<br>Providence Road to Forum Boulevard<br>City of Columbia - Public Works Department

## PROJECT DESCRIPTION:

The Nifong Boulevard Improvements (Providence Road to Forum Boulevard) include: analysis/design to widen Nifong Boulevard between Providence Road to approximately 1,900 linear feet west of Forum Boulevard to five lanes (two eastbound lanes, two westbound lanes, and a center turn lane); addition of sidewalks where needed; and improvements at each intersection within the project limits. The project also includes analysis/design of Bethel Road, extending approximately 300 linear feet to the north and 650 linear feet to the south of Nifong, and Forum Boulevard extending approximately 500 linear feet to the north and 600 linear feet to the south of Nifong Boulevard.

Design services will include: surveying, geotechnical engineering and pavement design, hydraulic analysis and stormwater management, easement descriptions and display drawings, public involvement meetings, environmental and historic preservation services/permits, utility coordination, and limited bid phase and construction phase services. Concept plans, preliminary plans, right of way plans, and final plans, specifications and cost estimates will be produced.

Engineering Surveys and Services will be assisted by two sub-consultants: EFK Moen (traffic engineering, structural engineering, and roadway design) and Landworks Studios (Landscape Architecture). EFK Moen will perform the corridor traffic analysis and traffic study report, evaluate access management options on the east end of the corridor from Providence Road to Aurora Drive, prepare concept plans and final construction documents for the east end of the project, provide all traffic signal and street lighting design, and any structural engineering required for the project. Landworks Studios will evaluate aesthetic feature options and prepare color renderings to be used during public meetings. Landworks will design any aesthetic features that are incorporated into the final plans. Both of these subconsultant's are MoDOT certified Disadvantaged Business Enterprises.

## TASK SUMMARY

The following is a summary of the tasks associated with the scope of services to be provided by Engineering Surveys and Services (ES\&S) for this project.
A. Data Collection and Concept Plans
B. Preliminary Plans
C. Right-of-Way Plans
D. Final Plans, Specifications, and Estimates
E. Bid Phase Services
F. Construction Phase Services

## DETAILED SCOPE OF WORK

TASK A. Data Collection and Concept Plans

1. Preliminary Site Visit
2. Prepare Kickoff meeting agenda
3. Design Team Kickoff and Traffic Study Scope Meeting with City Staff
4. Prepare Meeting Minutes
5. Collect and review available data:
5.1. City aerial topographic surveys, aerial photo, GIS data
5.2. Existing utility data (includes data acquired for CW\&L Transmission Line utility survey)
5.3. Roadway Plans (City and MoDOT)
5.4. Available City drainage calculations, floodplain models
5.5. City traffic data, Synchro or SimTraffic models
5.6. Current traffic crash data - analysis and create displays
5.7. Property Ownership Information
5.8. Plats and surveys
6. Prepare Design Criteria Memo
7. Provide a boundary and topographical survey of the proposed project limits. Including:
7.1. Horizontal Control Points
7.1.1. Established based on the Missouri State Plane Coordinate System, Central Zone.
7.1.2. Horizontal control points shall be established within 500 feet of each end of the project. Horizontal control points shall be established along the entire length of the project. Horizontal control points shall be intervisible, spaced at intervals no greater than 1,000 feet and be established outside the construction area.
7.1.3. City shall provide list and description of City control points nearest to project area.
7.2. Vertical Control Points:
7.2.1. Temporary benchmarks shall be established for the project for vertical control. Elevation of temporary benchmarks shall be set based upon the City of Columbia's benchmark system.
7.2.2. Temporary benchmarks shall be established within 500 feet of each end of the project.
7.2.3. Temporary benchmarks shall be established along the entire length of the project. The temporary benchmarks must be intervisible, spaced at intervals no greater than 1,000 feet and be established outside the construction area.
7.2.4. Objects of a semi permanent nature such as existing sanitary sewer manholes, fire
hydrants, power poles and small concrete structures shall not be utilized as benchmarks unless approved by City. It is preferred that benchmarks shall consist of chiseled squares in large concrete structures or similar permanent structures.
7.2.5. City shall provide list and description of City benchmarks nearest to project area.
7.3. Property Monuments:
7.3.1. Engineer shall locate all property monuments within the construction corridor during design survey.
7.3.2. Engineer shall identify all property monuments that have the potential to be disturbed during construction activities.
7.4. Existing Nifong centerline profile $+/-300$ feet beyond project limits
7.5. Full topographic survey with existing ground spot elevations and contours within project limits
7.6. Show all utilities marked by Missouri One Call
7.7. Utilities exposed by the utility companies following the utility coordination meeting (estimate 20 locations surveyed in 4 trips)
7.8. Existing signs and pavement markings
7.9. Notes to tie new roadway stationing to old roadway stationing
7.10. Show street right-of-way lines (Nifong Blvd. and side streets) and parcel property lines, with:
7.10.1. Parcel Number (for lots with proposed takings only)
7.10.2. Property owner names
7.10.3. Deed book and page
7.10.4. Boone County Parcel ID number
7.10.5. Lot number and subdivision name
7.10.6. Update title work to determine easements of record on all parcels with takings (estimate 70 parcels)
8. Corridor Traffic Analysis (to support traffic signal design and access management options)
8.1. Field Reconnaissance
8.2 Collect current 12 hour traffic counts when Columbia Public Schools and MU are in session via Miovision Electronic Counting. Traffic counts will be collected at 27 intersections including: Route 163, Monterey, Peachtree, Santiago, Bethel, Baurichter, Barrington, Forum, W Rail, Club Village, and Willowcreek.
8.3. Prepare Synchro traffic model
8.4. Develop and evaluate access management alternatives
8.5. Prepare Synchro model videos for alternatives
8.6. Evaluate Bethel Street / Gentry Middle School impacts and mitigation
8.7. Prepare Traffic Study Report
9. Prepare Preliminary Concept Plans
9.1.
9.2. Phase 1 Cultural Resource Survey
9.3. Typical Cross Sections
9.4. Overall plan view drawings showing project limits and alternate access management possibilities
9.5. Plan view drawings showing initial grading limits and other details
9.6. Profile drawings showing initial vertical alignment with grades and curve lengths
9.7. Traffic Signal/Intersection layout drawings
9.8. Review aesthetic feature options with Landscape Architect
9.9. Prepare intersection rendering
9.10. Prepare plan view aerial photo exhibits for Interested Parties Meeting
9.11. Report to document options and costs
9.12. Design team internal review
9.13. Progress meeting (1) with Columbia Public Works (CPW) staff
9.14. Prepare progress meeting minutes
9.15. Address CPW Comments
10. Public Meetings
10.1. Schedule, conduct and prepare all displays and documents needed for a targeted stakeholder outreach meeting and open house-style Interested Parties (IP) meeting. Interested Parties (also referenced as stakeholders) include owners, residents, commercial tenants of properties on and adjacent to the corridor. Stakeholders will be identified with the concurrence of CPW staff.
10.2. A targeted outreach meeting with stakeholders on the east end of the project to discuss planning and potential implementation of access management alternatives, including:
10.2.1. Nifong Shopping Center LLC (Gerbes)
10.2.2. Boatmen's Bank of Columbia (Bank of America)
10.2.3. Rock Bridge/Peach Tree Shopping Center owners
10.3. Open House IP Meeting
10.3.1. Plan and prepare displays and documents needed for meeting.
10.3.2. Assist the City in conducting and attend an open house-style IP meeting.
10.4. Communications
10.4.1.1. Engineer will provide project graphic identity to be used on materials prepared for public communication and information-sharing.
11. Preferred Alternative Concept Plan
11.1. Prepare Preferred Alternative Concept Plans
11.2. Opinion of Probable Cost for preferred option
11.3. Design Team Internal Review
11.4. Submit Report and Preferred Alternative Concept Plan to CPW
11.5. Address CPW Comments
12. City Council Public Hearing
12.1. Prepare presentation materials for a briefing to City Council describing the project development to date, including a summary of the public involvement process, and Preferred Alternative.

## TASK B. PRELIMINARY PLANS

13. Task B Kick off meeting
14. Geotechnical Investigation 18 borings along roadway improvements)
14.1. Office Preparation and Temporary Traffic Control Plan for drilling operations
14.2. Field Reconnaissance
14.3. Collect Soil Boring Samples and Logs
14.4. Prepare temporary traffic control plan for drill rig operations
14.5. Drill Rig and Temporary Traffic Control rental
14.6. Prepare Geotechnical Report
14.7. Design Team Internal Review
15. Existing Pavement Condition Evaluation
15.1. Evaluate existing turn lane tapers and joint pattern
15.2. Recommend pavement repairs and/or removal limits
16. Utility Coordination Meeting
16.1. Prepare Concept Plan-Profile Drawings to be sent to utility representatives as PDFs and/or hard copies.
16.2. Conduct meetings
16.3. Prepare meeting minutes
17. Prepare Preliminary Plans (per City of Columbia Public Works Plan Submittal Requirements for Major Roadways September 2015)
17.1. Environmental Clearances:
17.1.1. SHPO
17.1.2. USACE 404 permit (Assumes Nationwide Permit)
17.1.3. Threatened and Endangered Species
17.1.4. FEMA Floodplain (scope assumes No Rise and City Floodplain Development permit only, CLOMR not included)
17.1.5.
17.2. Title sheet
17.3. General notes sheet
17.4. Survey control points and layout sheet
17.5. Typical cross sections
17.6. Overall Plan View Sheet
17.7. Plan-Profile Sheets with grading limits
17.7.1. Nifong Boulevard
17.7.2. Bethel Street
17.7.3. Forum Boulevard
17.7.4. Driveways and other side streets with sight distances noted
17.8. Special intersection layout sheets
17.9. Existing pavement repair/replacement plan
17.10. Pavement joint plan
17.11. Pavement marking and signage plan
17.12. Pavement drainage system layout and profiles
17.13. Cross road drainage design
17.13.1. Box culvert extension plans
17.13.2. Structural drawings
17.13.3. HEC-RAS Analysis
17.13.4. Bethel Street box culvert capacity analysis vs. roadway vertical alignment considerations
17.13.5. Low flow channel modification design considerations to prevent sediment deposition in box culverts
17.14. Water Quality features
17.15. Retaining Wall plans (up to 5 , if required)
17.15.1. Location design and profiles
17.15.2. Structural details
17.16. Traffic Signal plans
17.16.1. Bethel
17.16.2. Forum
17.17. Traffic Signal Interconnect Plans from Route 163 to Forum
17.18. Street lighting plans
17.19. Aesthetic Features Plans by Landscape Architect
17.20. Temporary Traffic Control per MUTCD
17.21. City of Columbia Standard Details
17.22. Cross sections every 50 feet and intersections/driveways
17.23. Field review
17.24. Opinion of Probable Cost
17.25. Design Team Internal Review

17．26．Address CPW Comments

## TASK C．RIGHT－OF－WAY PLANS

18．Easement Descriptions
Property descriptions and display drawings for all needed permanent and temporary easements（estimate 70 descriptions）．The City will acquire the needed easements and ES\＆S will assist the City during negotiations with property owners in a role limited to answering property owner＇s technical questions about the project．Stake the proposed easements（estimate 15 parcels）．

19．Prepare Right－of－Way Plans（per City of Columbia Public Works Plan Submittal Requirements for Major Roadways September 2015）
19．1．Title Sheet
19．2．Overall Plan View Sheet
19．3．Parcel Tabulation
19．4．Plan Profile Sheets with easement and right－of－way labels
19．5．Final pavement drainage system layout and profiles
19．6．Final cross road drainage design and FEMA No Rise Documentation
19．7．Design Team Internal Review
19．8．Address CPW Comments

## TASK D．FINAL PLANS，SPECS \＆ESTIMATES（PS\＆E）

20．Prepare Final Plans（After right of way／easements are acquired）
20．1．Title sheet
20．2．General notes sheet
20．3．Quantity sheets
20．4．Survey control points and layout sheet
20．5．Typical cross sections
20．6．Overall Plan View Sheet
20．7．Plan－Profile Sheets with grading limits
20．7．1．Nifong Boulevard
20．7．2．Bethel Street
20．7．3．Forum Boulevard
20．7．4．Driveways and other side streets
20．8．Special intersection layout sheets
20．9．Existing pavement repair／replacement plan
20．10．Pavement joint plan
20．11．Pavement marking and signage plan
20.12. Pavement drainage system layout and profiles
20.13. Cross road drainage design
20.13.1. Box culvert extension plans
20.13.2. Structural drawings
20.13.3. Low flow channel modification design considerations
20.14. Water Quality features
20.15. Retaining wall plans (up to 5 , if required)
20.15.1. Location design and profiles
20.15.2. Structural details
20.16. Traffic Signal plans
20.16.1. Bethel
20.16.2. Forum
20.17. Traffic Signal Interconnect Plans from Route 163 to Forum
20.18. Street lighting plans
20.19. Aesthetic Features Plans
20.20. Temporary Traffic Control per MUTCD
20.21. Construction Phasing Plan
20.22. Erosion Control Drawings
20.23. Storm Water Pollution Prevention Plan (SWPPP using City blanket DNR permit)
20.24. City of Columbia Standard Details
20.25. Cross sections every 50 feet and intersections/driveways
20.26. Field Review
20.27. Send Final Plans to utility representatives for coordination
20.28. Opinion of Probable Cost and Bid Form
20.29. Technical specs and special provisions
20.30. Design Team Internal Review
20.31. Address CPW Comments

TASK E. BID PHASE SERVICES
21. Attend Pre-Bid Conference
22. Respond to Questions/Prepare Addendums
23. The City will provide the administration of bid documents, including distribution of bid documents, maintaining a bidders list, collection of money and distribution of addenda.

## TASK F. CONSTRUCTION PHASE SERVICES

24. Attend Pre-Construction Meeting
25. Site Visits ( 80 hours total - All other site visits will be Additional Services)
26. Review Shop Drawings and Materials Certifications
27. Traffic Signal turn on consultations
28. City will provide all other Construction Phase Services

# Nifong Boulevard Improvements - Providence Road to Forum Boulevard 

City of Columbia - Public Works Department
29. Attend semi-final inspection (punch list)
30. Attend final inspection (job walks)

